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### **Amending 125-10-3 (2), Colorado Revised Statutes 1953, as Amended, Relating to Licensing Procedures and License Fees for Broker-Dealers, Issuer-Dealers, and Salesmen of Securities.**

Colorado General Assembly

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## CHAPTER 85

## SECURITIES

## LICENSING AND PRACTICE ACT

(House Bill No. 1067. By Representative Griffith.)

## AN ACT

AMENDING 125-10-3 (2), COLORADO REVISED STATUTES 1953, AS AMENDED, RELATING TO LICENSING PROCEDURES AND LICENSE FEES FOR BROKER-DEALERS, ISSUER-DEALERS, AND SALESMEN OF SECURITIES.

*Be It Enacted by the General Assembly of the State of Colorado:*

Section 1. 125-10-3 (2), Colorado Revised Statutes 1953 (1961 Supp.), is hereby amended to read:

125-10-3. — **Licensing procedure.** — (2) Every applicant for ~~initial or a renewal~~ ORIGINAL license shall pay a filing fee of ~~fifty~~ ONE HUNDRED dollars in the case of a broker-dealer, ~~ten~~ TWENTY dollars in the case of a salesman. ~~When application is denied or withdrawn, the securities commissioner shall retain the filing fee.~~ In the case of issuer-dealers the licensing fee of ~~fifty~~ ONE HUNDRED dollars shall be applied to the registration fee required under section 125-10-10 (2). AN APPLICANT FOR RENEWAL LICENSE SHALL PAY A FILING FEE OF FIFTY DOLLARS FOR A BROKER-DEALER AND TEN DOLLARS FOR A SALESMAN. THE RENEWAL FEE FOR AN ISSUER-DEALER SHALL BE INCLUDED IN THE RENEWAL REGISTRATION FEE APPLICABLE THERETO. WHEN A LICENSE OR APPLICATION IS WITHDRAWN, DENIED, SUSPENDED, OR REVOKED, THE FEE SHALL NOT BE REFUNDED.

Section 2.—**Effective date.**—This act shall take effect on July 1, 1964.

*Capital letters indicate new material added to existing statutes; dashes through words indicate deletions from existing statutes and such material not part of act.*

Section 3. — Safety clause. — The general assembly hereby finds, determines, and declares that this act is necessary for the immediate preservation of the public peace, health, and safety.

Approved: March 2, 1964.